

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* Application of Malat et al.

Filing Date: Herewith

Attorney File No.: 14846-38

Entitled: METHODS AND SYSTEMS FOR MANAGING CALL  
REPORTS FOR THE FINANCIAL SERVICES  
INDUSTRY

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PETITION TO MAKE SPECIAL UNDER 37 C.F.R. § 1.102**

SIR:

It is requested that the above-captioned patent application, filed herewith, be granted Special status for accelerated examination. As set forth in MPEP § 708.02(VIII), such a petition requires: (1) that all claims be directed to a single invention; (2) a pre-examination search; (3) copies of the references identified in the search deemed most closely related to the claimed subject matter; (4) a detailed discussion pointing out with particularity how the claimed subject matter is patentable over the references; and (5) the fee set forth in 37 C.F.R. 1.17(h). As set forth in more detail below, Applicants have complied with each of these requirements and granting of this Petition is respectfully requested.

**I. APPLICANT'S CLAIMED INVENTION**

Applicants' claimed invention is directed to systems and methods for managing call reports for the financial services industry. A call report message and information identifying a client matter are received via a wireless communication network, a distribution list is determined by applying a set of visibility rules, and the call report is created. Access to the call report is based on the distribution list. The visibility rules are rules that encapsulate the various legal and ethical requirements for allowing access to a client matter.

The current application comprises three independent claims. Independent claim 1 is directed to a method for managing call reports in a wireless network environment. Independent

claim 18 is directed to a system for managing call reports. Independent claim 23 is directed to a computer-readable medium for storing instructions for carrying out the method steps of claim 1.

Should the Examiner determine that the claims are not directed to a single invention, Applicants will make an election without traverse according to established telephone-restriction practice. MPEP 708.02(VIII).

## **II. PRE-EXAMINATION SEARCH**

A pre-examination search was performed by the professional search firm of Woolcott LLC (“Woolcott”) to locate the U.S. Patents and U.S. Patent Publications relevant to the inventive concept (the “Search”). Woolcott is located at 2001 Jefferson Davis Highway, Suite 411, Arlington, Virginia 22202, Tel: 800.223.9697, and has a web page address of <http://www.woolcott.com/index.html>.

The following classes and subclasses were searched.

Class	Subclasses
705	35, 36, 50, 51
455	565, 566, 411, 412.2, 414.1
379	202.01

Woolcott pointed out four references deemed most closely related to the claimed subject matter:

- (1) U.S. Publication 20020002520 (published Jan. 3, 2002) to Gatto;
- (2) U.S. Publication 20030191719 (published Oct. 9, 2003) to Ginter et al. (“Ginter”);
- (3) U.S. Patent 6,631,402 (issued Oct. 7, 2003) to Devine et al. (“Devine”); and
- (4) U.S. Patent 6,256,515 (issued Jul. 3, 2001) to Cox et al. (“Cox”) (collectively referred to herein as the “Relevant References”). Each of the Relevant References is discussed in detail below.

Nothing in this Petition should be construed as an admission that any reference identified in the Search or discussed herein is available as prior art to the above-captioned application.

### **III. DETAILED DISCUSSION OF PATENTABILITY**

The claimed subject matter of the above-captioned patent application is patentable over the Relevant References. Applicants provide detailed discussion in this Section that points out with particularity how the claimed subject matter is patentable over the Relevant References.

#### **A. U.S. PUBLICATION 20020002520 (PUBLISHED JAN. 3, 2002) TO GATTO**

The subject matter of the above-captioned patent application is patentable over Gatto. Gatto does not disclose managing call reports or making them accessible to persons on a distribution list which is created by applying a set of visibility rules. Instead, Gatto discloses a method and system for simultaneously displaying historical accuracy indicators for an analyst and the analyst's estimate for a future event, on an analyst-by-analyst basis, and for selected analysts. This would be useful to someone wishing to compare various analysts. Since Gatto does not teach or suggest Applicants' claimed invention, Applicants' invention as claimed is patentable over Gatto.

#### **B. U.S. PUBLICATION 20030191719 (PUBLISHED OCT. 9, 2003) TO GINTER**

The subject matter of the above-captioned patent application is patentable over Ginter. Ginter does not disclose managing call reports or making them accessible to persons on a distribution list which is created by applying a set of visibility rules. Ginter discloses systems and methods for secure transaction management and electronic rights protection. Information is accessed and used only in authorized ways, and the integrity, availability and/or confidentiality of the information is maintained. Ginter's invention might be useful for making secure electronic transactions. Since Ginter does not teach or suggest Applicants' claimed invention, Applicants' invention as claimed is patentable over Ginter.

#### **C. U.S. PATENT 6,631,402 (ISSUED OCT. 7, 2003) TO DEVINE**

The subject matter of the above-captioned patent application is patentable over Devine. Devine does not disclose managing call reports or making them accessible to persons on a distribution list which is created by applying a set of visibility rules. Devine discloses a report system with a report manager and report requester and viewer client enabling customers to define various reports relating to their telecommunications network usage. Since Devine does not teach or suggest Applicants' claimed invention, Applicants' invention as claimed is patentable over Devine.

D. U.S. PATENT 6,256,515 (ISSUED JUL. 3, 2001) TO COX

The subject matter of the above-captioned patent application is patentable over Cox. Cox does not disclose managing call reports or making them accessible to persons on a distribution list which is created by applying a set of visibility rules. Cox discloses a call management system for wireless telephones. The call management system is provided to manage the use of specified wireless telephones in order to limit or manage their use for unofficial calls and to report on official as well as unofficial uses. Calls originated from or directed to a controlled wireless telephone are routed to a call management center from a wireless central office. The call management center determines whether the call is approved by consulting a database of approved telephone numbers. If the call is not approved, the caller is prompted to provide an access code. The call is completed if the access matches a predetermined security code. Customizable exception reports are provided to reflect unofficial usage, incorrect access attempts, and other detailed information concerning the use of the specified wireless telephones. Since Cox does not teach or suggest Applicants' claimed invention, Applicants' invention as claimed is patentable over Cox.

Accordingly, because the Relevant References fail to teach or suggest one or more feature recited in the claimed subject matter, these references, either alone or in combination, would not have anticipated or rendered obvious the claimed subject matter.

**IV. CONCLUSION**

In view of the foregoing, Applicants' have met all the requirements for accelerated examination set forth in 37 C.F.R. § 1.102 and as detailed in MPEP § 708.02(VIII). Accordingly, Applicants respectfully request this case be made special for expedited examination. Please charge the required fee set forth in 37 C.F.R. § 1.17(h), estimated to be \$ 130.00, to Deposit Account No. 501358.

Respectfully submitted,



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